

Compliance Package



MSB Audit Suite

AML Awareness and Protection for MSBs
BSA Awareness and Protection for MSBs
Protecting the Front Lines: AML Policy and Procedures
Top Five Things To Know About Currency Transaction Reporting for MSBs
Detect and Report: Complying with Suspicious Activity Reporting
How Transactions Can Actually Harm your Business Without Careful Monitoring
A Simple Approach to Recordkeeping Requirements for MSBs
The Critical Components of OFAC that MSBs Must Know
Am I Registered Properly as an MSB? The Facts about FinCEN Registration
Essentials of Risk Assessment for MSBs

MSB Regulatory Compliance Suite

BUSINESS COMPLIANCE

Advertising Compliance
Affiliate Transactions — Regulation W
Anti-Boycott Restrictions
Customer and Enhanced Due Diligence (CDD/EDD)
Customer Identification Program (CIP)
Customer Information Security Awareness — And Case Studies
Fedwire® — Regulation J
Foreign Corrupt Practices Act
GLB Privacy Act (Opt-Out)
Gramm-Leach-Bliley (GLB) Privacy Act
Introduction to Compliance for Front-Line Employees
Office of Foreign Assets Control (OFAC)
Right to Financial Privacy Act (RFPA)
Sarbanes-Oxley Act Overview

LENDING COMPLIANCE

Equal Credit Opportunity Act — Regulation B
Fair and Accurate Credit Transactions Act (FACTA) Overview
Fair Credit Reporting Act (FCRA)
Fair Debt Collection Practices Act (FDCPA)
Fair Lending Overview
Introduction to Credit Card Regulations
Raising the Bar: A Guide to the 2014 CFPB Rules
Truth in Lending Act — Regulation Z
TILA — Open End Loans/Credit Cards
TILA — Unsecured Open-End Loans
TILA — Installment Loans — Non-Real Estate Secured

Unfair, Deceptive or Abusive Acts or Practices for the Financial Institution
Subprime and Predatory Lending

TRANSACTION COMPLIANCE

Accepting Negotiable Instruments
AML & SAR for Mortgage Lenders and Originators
AML for Customer Service Representatives
AML for Directors and Senior Management
AML for Lenders
AML for Operations
AML: What are PEPs? Training Course
Anti-Money Laundering (AML)
Anti-Terrorism Overview
Automated Clearing House (ACH)
Bank Secrecy Act (BSA)
Bomb Threats and Other Security Issues
BSA for Customer Service Representatives
BSA for Directors and Senior Management
BSA for Operations
Business Continuity and Disaster Recovery
Cash-Drawer Balancing
Convenience Products and Services
Counterfeiting and Forgery
Currency Transaction Reporting
Elder Financial Abuse
Electronic Funds Transfer Act — Regulation E
Ethics
Frauds and Scams
Issuing Negotiable Instruments
Money Handling
Nonresident Alien
Pretext Calling and Identity Theft
Providing Service to Customers with Disabilities
Records Management
Red Flags of Identity Theft
Remote Deposit Capture
Robberies
Security of Customer Information Guidelines
Servicemembers Civil Relief Act (SCRA)
Suspicious Activity Reporting
Unlawful Internet Gambling — Regulation GG
Wire Transfers